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Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 22, 2002

PTAS

STEVEN F. WEINSTOCK
100 ABBOTT PARK ROAD
D-377/AP6D-2
ABBOTT PARK, IL 60064-6050

700009937A

700009937A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/21/2002

REEL/FRAME: 012323/0429
NUMBER OF PAGES: 17

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AUGERI, DAVID J.

DOC DATE: 12/18/2001

ASSIGNOR:

BAUMEISTER, STEVEN A.

DOC DATE: 01/08/2002

ASSIGNOR:

BRUNCKO, MILAN

DOC DATE: 12/19/2001

ASSIGNOR:

DICKMAN, DANIEL A.

DOC DATE: 01/03/2002

ASSIGNOR:

DINGES, JURGEN

DOC DATE: 12/14/2001

ASSIGNOR:

HAJDUK, PHILIP J.

DOC DATE: 01/09/2002

ASSIGNOR:

DING, HONG

DOC DATE: 01/02/2002

012323/0429 PAGE 2

ASSIGNOR: FESIK, STEPHEN W.	DOC DATE: 12/20/2001
ASSIGNOR: KUNZER, AARON R.	DOC DATE: 12/20/2001
ASSIGNOR: MCCLELLAN, WILLIAM	DOC DATE: 12/18/2001
ASSIGNOR: OOST, THORSTEN	DOC DATE: 01/07/2002
ASSIGNOR: ROSENBERG, SAUL H.	DOC DATE: 12/21/2001
ASSIGNOR: NETTESHEIM, DAVID G.	DOC DATE: 12/21/2001
ASSIGNOR: PETROS, ANDREW M.	DOC DATE: 12/14/2001
ASSIGNOR: SHEN, WANG	DOC DATE: 12/22/2001
ASSIGNOR: THOMAS, SHEELA A.	DOC DATE: 12/18/2001
ASSIGNOR: WENDT, MICHAEL D.	DOC DATE: 01/03/2002
ASSIGNOR: WANG, XILU	DOC DATE: 12/14/2001
ASSIGNEE: ABBOTT LABORATORIES 100 ABBOTT PARK ROAD D-377/AP6D-2 ABBOTT PARK, ILLINOIS 60064-6050	
SERIAL NUMBER: 09957276	FILING DATE: 09/20/2001
PATENT NUMBER:	ISSUE DATE:

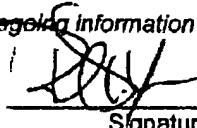
SAUNDRA BALLENGER, EXAMINER
ASSIGNMENT DIVISION
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ABBOTT LABS

NO. 6147 P. 2

01/21/2002
700009937

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings: [icon] [icon] [icon] [icon] [icon] [icon] [icon] [icon]		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): David J. Augeri Steven A. Baumeister Milan Bruncko Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Abbott Laboratories</u> Internal Address: <u>D-377/AP6D-2</u> Street Address: <u>100 Abbott Park Road</u> City: <u>Abbott Park</u> State: <u>IL</u> Zip: <u>60064-6050</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>See 1 in Addendum</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) Serial No.: <u>09/957,276</u> Filing Date: <u>09-20-01</u> Attorney Docket No.: <u>6735.US.O2</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Steven F. Weinstock</u> Internal Address: <u>D-377/AP6D-2</u> Street Address: <u>100 Abbott Park Road</u> City: <u>Abbott Park</u> State: <u>IL</u> Zip: <u>60064-6050</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>01-0025</u> (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Paul D. Yasger, Reg. No. 37,477 Name of Person Signing  Signature 1/21/02 Date					
Total number of pages including cover sheet, attachments, and documents <u>17</u>					

Mail documents to be recorded with required cover sheet information to:
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Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

1. Name of conveying party(ies):

Daniel A. DickmanHong DingJurgen DingesStephen W. FesikPhilip J. HajdukAaron R. Kunzer

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

1. Name of conveying party(ies):

William McClellan

David G. Nettesheim

Thorsten Oost

Andrew M. Petros

Saul H. Rosenberg

Wang Shen

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

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RECORDATION FORM COVER SHEET

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U.S. Patent and Trademark Office

1. Name of conveying party(ies):

Sheela A. ThomasXilu WangMichael D. Wendt

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

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Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

JAN. 21. 2002 10:29AM

ADP LABS

NO. 6147 P. 6

**Attachment to Form PTO - 1595
Recordation Form Cover Sheet**

1. 12-18-01, 01-08-02, 01-08-02, 12-19-01, 01-03-02, 12-14-01, 01-09-02, 01-02-02,
12-20-01, 12-18-01, 01-07-02, 01-07-02, 12-21-01, 12-14-01, 12-22-01, 12-18-01,
01-03-02, 12-14-01

ASSIGNMENT

WHEREAS, DAVID J. AUGERI, STEVEN A. BAUMEISTER, MILAN BRUNCKO, DANIEL A. DICKMAN, HONG DING, JURGEN DINGES, STEPHEN W. FESIK, PHILIP J. HAJDUK, AARON R. KUNZER, WILLIAM MCCLELLAN, DAVID G. NETTESHEIM, THORSTEN OOST, ANDREW M. PETROS, SAUL H. ROSENBERG, WANG SHEN, SHEELA A. THOMAS, XILU WANG, and MICHAEL D. WENDT, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled N-ACYLSULFONAMIDE APOPTOSIS PROMOTERS executed by Assignors on the same date as this Assignment, and Assignors hereby authorize and request the attorney(s) listed on the Power of Attorney filed in this case to insert here in parentheses the filing date and application number of said application when known (Application number 09/957,276, filed September 20, 2001);

WHEREAS, Abbott Laboratories, a corporation organized and existing under the laws of Illinois, having a place of business at Abbott Park, Illinois 60064, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and to the patent application identified above, and all patents, foreign and domestic, which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States and elsewhere; together with the entire right, title and interest in and to said invention and all patent applications, patents, utility models and designs therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications, patents, utility models and designs defined above; to

have and to hold for sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent applications identified above; in the making and prosecution of any other applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications, patents, utility models and designs; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Agreement.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: _____

David J. Augeri

Steven A. Baumeister

DATED: 8-Jan-02

DATED: 1/8/02

Milan Bruncko

DATED: _____

Daniel A. Dickman

DATED: 1/3/02

Hong Ding

DATED: 12/14/01

Jurgen Dinges

DATED: 1/9/02

Stephen W. Fesik

DATED: 1/02/02

Philip J. Hajduk
Philip J. Hajduk

DATED: 12/20/01

Aaron R. Kunzer
Aaron R. Kunzer

DATED: 12/18/01

William McClellan
William McClellan

DATED: 1/7/02

David G. Nettesheim
David G. Nettesheim

DATED: 1/7/02

Thorsten Oost
Thorsten Oost

DATED: 12/21/01

Andrew M. Petros
Andrew M. Petros

DATED: 12/14/01

Saul H. Rosenberg
Saul H. Rosenberg

DATED: _____

Wang Shen

DATED: 12-18-01

Sheela A. Thomas
Sheela A. Thomas

DATED: 1/3/02

Xilu Wang
Xilu Wang

DATED: 12/14/01

Michael D. Wendt
Michael D. Wendt

ASSIGNMENT

WHEREAS, DAVID J. AUGERI, STEVEN A BAUMEISTER, MILAN BRUNCKO, DANIEL A. DICKMAN, HONG DING, JURGEN DINGES, STEPHEN W. FESIK, PHILIP J. HAJDUK, AARON R. KUNZER, WILLIAM MCCLELLAN, DAVID G. NETTESHEIM, THORSTEN OOST, ANDREW M. PETROS, SAUL H. ROSENBERG, WANG SHEN, SHEELA A. THOMAS, XILU WANG, and MICHAEL D. WENDT, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled N-ACYLSULFONAMIDE APOPTOSIS PROMOTERS executed by Assignors on the same date as this Assignment, and Assignors hereby authorize and request the attorney(s) listed on the Power of Attorney filed in this case to insert here in parentheses the filing date and application number of said application when known (Application number 09/957,276, filed September 20, 2001);

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NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States and elsewhere; together with the entire right, title and interest in and to said invention and all patent applications, patents, utility models and designs therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications, patents, utility models and designs defined above; to

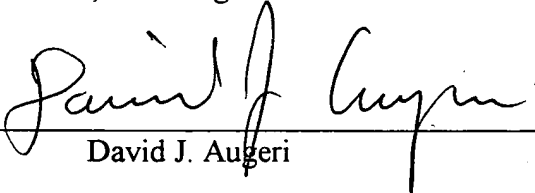
have and to hold for sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent applications identified above; in the making and prosecution of any other applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications, patents, utility models and designs; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Agreement.

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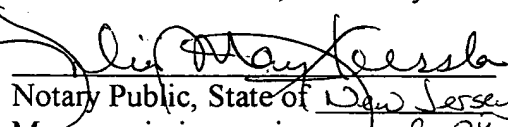
IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: 12-18-01


David J. Augeri

State of New Jersey)
)ss.
County of Mercee)

On this 18 day of December, 2001, before me personally appeared the above named **David J. Augeri**, personally known to me, and known by me to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed, on the day and the year aforesaid.


Notary Public, State of New Jersey
My commission expires 9-24-03

Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003

DATED: _____

Steven A. Baumeister

DATED: _____

Milan Bruncko

DATED: _____	_____
	Daniel A. Dickman
DATED: _____	_____
	Hong Ding
DATED: _____	_____
	Jurgen Dinges
DATED: _____	_____
	Stephen W. Fesik
DATED: _____	_____
	Philip J. Hajduk
DATED: _____	_____
	Aaron R. Kunzer
DATED: _____	_____
	William McClellan
DATED: _____	_____
	David G. Nettesheim
DATED: _____	_____
	Thorsten Oost
DATED: _____	_____
	Andrew M. Petros
DATED: _____	_____
	Saul H. Rosenberg
DATED: _____	_____
	Wang Shen
DATED: _____	_____
	Sheela A. Thomas
DATED: _____	_____
	Xilu Wang
DATED: _____	_____
	Michael D. Wendt

ASSIGNMENT

WHEREAS, DAVID J. AUGERI, STEVEN A BAUMEISTER, MILAN BRUNCKO, DANIEL A. DICKMAN, HONG DING, JURGEN DINGES, STEPHEN W. FESIK, PHILIP J. HAJDUK, AARON R. KUNZER, WILLIAM MCCLELLAN, DAVID G. NETTESHEIM, THORSTEN OOST, ANDREW M. PETROS, SAUL H. ROSENBERG, WANG SHEN, SHEELA A. THOMAS, XILU WANG, and MICHAEL D. WENDT, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled N-ACYLSULFONAMIDE APOPTOSIS PROMOTERS executed by Assignors on the same date as this Assignment, and Assignors hereby authorize and request the attorney(s) listed on the Power of Attorney filed in this case to insert here in parentheses the filing date and application number of said application when known (Application number 09/957,276, filed September 20, 2001);

WHEREAS, Abbott Laboratories, a corporation organized and existing under the laws of Illinois, having a place of business at Abbott Park, Illinois 60064, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and to the patent application identified above, and all patents, foreign and domestic, which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States and elsewhere; together with the entire right, title and interest in and to said invention and all patent applications, patents, utility models and designs therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications, patents, utility models and designs defined above; to

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IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: _____

David J. Augeri

DATED: _____

Steven A. Baumeister

DATED: _____

Milan Bruncko

DATED: 12/19/01

Daniel A. Dickman
Daniel A. Dickman

State of _____

)
)ss.

County of _____

On this 19th day of December, 2001, before me personally appeared the above named **Daniel A. Dickman**, personally known to me, and known by me to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed, on the day and the year aforesaid.

Devon D. Duncan
Notary Public, State of Colorado

My commission expires 9/7/05

DEVON D DUNCAN
Notary Public
State of Colorado

Docket No.: 6735.US.02

Serial No.: 09/957,276

Filing Date: September 20, 2001

Page 2 of 3

My Commission Expires
09/07/2005

DATED: _____	_____
	Hong Ding
DATED: _____	_____
	Jurgen Dinges
DATED: _____	_____
	Stephen W. Fesik
DATED: _____	_____
	Philip J. Hajduk
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David G. Nettesheim

DATED: _____

Thorsten Oost

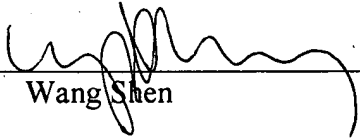
DATED: _____

Andrew M. Petros

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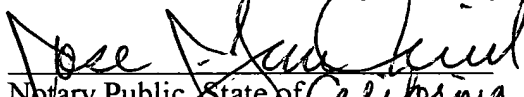
Saul H. Rosenberg

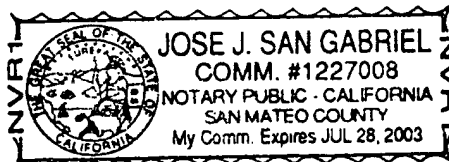
DATED: 12-22-01


Wang Shen

State of California)
County of San Mateo)ss.

On this 22nd day of December, 2001, before me personally appeared the above named **Wang Shen**, personally known to me, and known by me to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed, on the day and the year aforesaid.


Notary Public, State of California
My commission expires July 28, 2003



DATED: _____

Sheela A. Thomas

DATED: _____

Xilu Wang

DATED: _____

Michael D. Wendt

**PATENT
IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicants: D. J. Augeri, et al.

Serial No.: 09/957,276

Filed: September 20, 2001

Title: N-ACYLSULFONAMIDE
APOPTOSIS PROMOTERS

Case No.: 6735.US.02

Group Art No.: 1614

Examiner: (not yet assigned)

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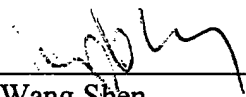
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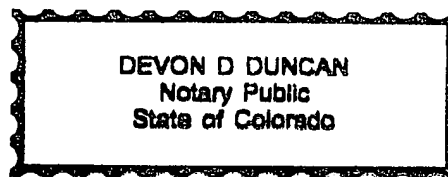
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I hereby claim the benefit under 35 U.S.C. § 120 of the following earlier-filed United States patent applications:

NONE

Insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. applications in the manner required by 35 U.S.C. § 112, first paragraph, I acknowledge a duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which came into existence between the filing date(s) of the prior applications and the national or PCT filing date of this application.

NONE

I hereby appoint the following Attorneys and/or agents to prosecute this application and any continuation or divisional applications based hereon, and to transact all business in the Patent and Trademark Office connected therewith:

Regina M. Anderson, Reg. No. 35,820
Cheryl L. Becker, Reg. No. 35,441
Thomas D. Brainard, Reg. No. 32,459
Valerie L. Calloway, Reg. No. 40,546
Dianne Casuto, Reg. No. 40,943
Daniel W. Collins, Reg. No. 31,912
Steven R. Crowley, Reg. No. 31,604
Andreas M. Danckers, Reg. No. 32,652
J. Michael Dixon, Reg. No. 32,410
B. Gregory Donner, Reg. No. 34,580
Mimi C. Goller, Reg. No. 39,046

James D. McNeil, Reg. No. 26,204
Lawrence S. Pope, Reg. No. 26,791
Nicholas A. Poulos, Reg. No. 30,209
Gregory W. Steele, Reg. No. 33,796
Michael J. Ward, Reg. No. 37,960
David L. Weinstein, Reg. No. 28,128
Steven F. Weinstock, Reg. No. 30,117
Brian R. Woodworth, Reg. No. 33,137
Paul D. Yasger, Reg. No. 37,477
Beth A. Vrioni, Reg. No. 39,869

Send Correspondence to:

Steven F. Weinstock
D-377/AP6D
Abbott Laboratories
100 Abbott Park Road
Abbott Park, IL 60064-6050

Direct telephone calls to:

Gregory W. Steele
(847) 937-0042

Name: David J. Augeri
Post Office Address: 107 Carter Road, Princeton, NJ 08540
Residence: Princeton, NJ 08540
Citizenship: United States of America

Name: Steven A. Baumeister
Post Office Address: 500 Menominee Avenue, #10, South Milwaukee, WI 53172
Residence: South Milwaukee, WI 53172
Citizenship: United States of America

Name: Milan Bruncko
Post Office Address: 13317-Heiden Circle; Lake Bluff, IL 60044
Residence: Lake Bluff, IL 60044
Citizenship: United States of America

Name: Daniel A. Dickman
Post Office Address: 1026 Wyndemere Circle, Longmont, CO 80501
Residence: Longmont, CO 80501
Citizenship: United States of America

Name: Hong Ding
Post Office Address: 7465 Clem Drive, Gurnee, IL 60031
Residence: Gurnee, IL 60031
Citizenship: China

Name: Jurgen Dinges
Post Office Address: 1357 Mayfair Lane, Grayslake, IL 60030
Residence: Grayslake, IL 60030
Citizenship: Germany

Name: Stephen W. Fesik
Post Office Address: 1099 Portsmouth Circle, Gurnee, IL 60031
Residence: Gurnee, IL 60031
Citizenship: United States of America

Name: Philip J. Hajduk
Post Office Address: 258 Lenox Lane, Mundelein, IL 60060
Residence: Mundelein, IL 60060
Citizenship: United States of America

Name: Aaron R. Kunzer
Post Office Address: 1000 Centurion Lane, #4, Vernon Hills, IL 60061
Residence: Vernon Hills, IL 60061
Citizenship: United States of America

Name: William McClellan
Post Office Address: 1212 N. Sheridan Road; Waukegan, IL 60085
Residence: Waukegan, IL 60085
Citizenship: United States of America

Name: David G. Nettesheim
Post Office Address: 699 Woodlawn Avenue, Lake Forest, IL 60045
Residence: Lake Forest, IL 60045
Citizenship: United States of America

Name: Thorsten Oost
Post Office Address: 8717-82nd Street; Pleasant Prairie, WI 53158
Residence: Pleasant Prairie, WI 53158
Citizenship: United States of America

Name: Andrew M. Petros
Post Office Address: 940 Ambria Drive, Mundelein, IL 60060
Residence: Mundelein, IL 60060
Citizenship: United States of America

Name: Saul H. Rosenberg
Post Office Address: 15 Lighthouse Lane, Grayslake, IL 60030
Residence: Grayslake, IL 60030
Citizenship: United States of America

Name: Wang Shen
Post Office Address: 1719 Monticello Road; San Mateo, CA 94402
Residence: San Mateo, CA 94402
Citizenship: United States of America

Name: Sheela A. Thomas
Post Office Address: 1525 Eric Lane, Libertyville, IL 60048
Residence: Libertyville, IL 60048
Citizenship: United States of America

Name: Xilu Wang
Post Office Address: 9039-Knox Avenue; Skokie, IL 60076
Residence: Skokie, IL 60076
Citizenship: United States of America

Name: Michael D. Wendt
Post Office Address: 1445 Maidstone Drive, Vernon Hills, IL 60061
Residence: Vernon Hills, IL 60061
Citizenship: United States of America

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that all statements made herein were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

David J. Augeri DATE

David J. Augeri 1/8/02

Milan Bruncko DATE

Milan Bruncko 1/3/02

Hong Ding DATE

Stephen W. Fesik 1/9/02

Stephen W. Fesik DATE

Aaron R. Kunzer 12/20/01

Aaron R. Kunzer DATE

David G. Nettesheim 1/17/02

David G. Nettesheim DATE

Andrew M. Petros 12/21/01

Andrew M. Petros DATE

Wang Shen DATE

Xilu Wang 1/3/02

Xilu Wang DATE

Steven A. Baumeister 8-Jan-02
Steven A. Baumeister DATE

Daniel A. Dickman DATE

Jurgen Dinges 12/14/01

Jurgen Dinges DATE

Philip J. Hajduk 1/2/02

Philip J. Hajduk DATE

William McClellan 12/18/01

William McClellan DATE

Thorsten Oost 1/7/02

Thorsten Oost DATE

Saul H. Rosenberg 12/14/01

Saul H. Rosenberg DATE

Sheela A. Thomas 12-18-01

Sheela A. Thomas DATE

Michael D. Wendt 12/14/01

Michael D. Wendt DATE